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JC05 Rec'd PCT/PTO 10 APR 2002  
PATENT

ATTORNEY DOCKET NO.: 056291-5025

#8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:

CAULKETT et al.

Appln. No.: 09/674,559

Filed: January 4, 2001

FOR: HETEROCYCLIC DERIVATIVES WHICH  
INHIBIT FACTOR Xa

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)  
)  
)  
) Group Art Unit: Unassigned  
)  
) Examiner: Unassigned  
)  
)

Date: April 10, 2002

Commissioner of Patents  
Washington, D.C. 20231

Sir:

**STATUS REQUEST**

Applicants respectfully request the status of the above-identified application, having filed a Response to the Notice of Abandonment dated June 21, 2001 on July 2, 2001 with copies of the Response to Notice of Missing Requirements and attachments filed on January 4, 2001 (copies attached).

If there are any fees due in connection with the filing of this Request, please charge the fees to our Deposit Account No. 50-0310.

Respectfully Submitted,  
**Morgan Lewis & Bockius LLP**

By:

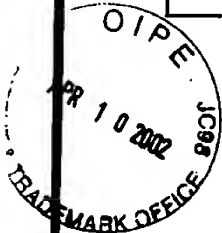
Date: April 10, 2002  
Morgan Lewis & Bockius LLP  
Customer No. 009629  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Tel. No.: 202-739-3000  
DJB:mk

Donald J. Bird  
Registration No. 25,323  
Tel. No.: (202) 739-5320  
Fax No.: (202) 739-3001

# RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)  
Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
Use this sheet when filing CPA

Appln. No: 09/674,559	Atty: Donald J. Bird
First Inventor: CAULKETT et al.	Date: July 2, 2001
	Matter No: 0274560 27/053
	Client No: 009901



## ENCLOSED:

- ☐ Response/Amendment ☐ Appendix ☐ Cover Sheet ☐ Cited/Listed Documents
- ☐ Completion Request for R 53(f)/PCT Nat.

# No. of Pages Abstract

# No. of Pages Spec and Claims

# No. of Numbered Claims Only

# No. of Sheets of Drawings (Figs

☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☐ Declaration ☐ # of pages

☐ Assignment ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$  Fee (Check)



COPY

## OTHER:

PETITION UNDER 37 C.F.R. §1.181(a) AND REQUEST FOR WITHDRAWAL OF ABANDONMENT - NO ABANDONMENT IN FACT (in duplicate), with copies of Completion Form, Declaration, cancelled check and PTO Mail Room Stamped Receipt filed January 4, 2001

Current DUE DATE: August 21, 2001

(Submit Single Copy Only)

09/674559



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMERCIAL CENTER OF PATENTS AND TRADEMARKS  
BOX PCT  
Washington, D.C. 20231

US APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/674,559	CAULKETT	PM 271055
INTERNATIONAL APPLICATION NO.		
PCT/GB99/01308		
I.A. FILING DATE		PRIORITY DATE
04/27/99		05/02/98

5611  
PILLSBURY MADISON & SUTRO  
INTELLECTUAL PROPERTY GROUP  
NINTH FLOOR  
1100 NEW YORK AVENUE  
WASHINGTON DC 20005-3918

DATE MAILED:

06/21/01

## NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as

☐ a Designated Office (37 CFR 1.494), ☐ an Elected Office (37 CFR 1.495), has made the following determination:

- ☐ Applicant's letter of express abandonment received \_\_\_\_\_ is in compliance with 37 CFR 1.138 and is hereby acknowledged.
- ☐ Applicant has failed to provide the full U. S. Basic National Fee by ☐ 20 months (37 CFR 1.494 (b)(2)), ☐ 30 months (37 CFR 1.495 (b)(2)).
- ☒ Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 06 Dec 00 within the time period set therein.
- ☐ Applicant has failed to properly respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed \_\_\_\_\_ within the time period set therein. See the attached NOTIFICATION OF A DEFECTIVE RESPONSE (Form PCT/DO/EO/916).
- ☐ Other:

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR ☐ 1.494, ☐ 1.495 and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

RECEIVED

PILLSBURY WINTHROP LLP/DC

JUN 22 2001

CL# 9901 MT# 271055  
ATTY(S) DYB MXH  
DUE: 8-21-01  
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Telephone: (703)

Kaye-Gallagher

National Stage Process

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PILLSBURY WINTHROP LLP

1100 NEW YORK AVENUE, N.W.  
WASHINGTON, D.C. 20005  
(202) 861-3000

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AY

THE ORDER OF Director of the U. S. Patent and Trademark Office

January 4, 2001

our hundred seventy-six dollars and 00 cents

\$ \*\*\*\*\*476.00

rial No.: 09/674559

U.S. PATENT AND TRADEMARK FEES ACCOUNT

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WASHINGTON, D. C.

*Margaret S. Jackson*

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PATENT AND TRADEMARK OFFICE  
13-10-0001  
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# RECEIPT FROM PTO FOR INDICATED ITEMS

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 Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
 Use this sheet when filing CPA

**COPY**

Appln. No: 09/674,559	Atty: Donald J. Bird
First Inventor: CAULKETT	Date: January 4, 2001
	Matter No: 271055
	Client No: 9901

## ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed Documents

☒ Completion Request for R 53(f)/PCT Nat.

# No. of Pages Abstract

# No. of Pages Spec and Claims

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☒ Declaration 2 # of pages

☒ Assignment ☒ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

#   No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ 476 Fee (Check)

OTHER:

**Current** DUE DATE: January 6, 2001

(Submit Single Copy Only)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION****UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****COPY****BOX PCT****COMPLETION  
For PCT Cases Only**

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): CAULKETT, Peter W.R. et al

Appln. No.: 09 674,559

Atty. Dkt. PM 271055 Z70339/UST

Series Code ↑ Serial No. ↑

M#

Client Ref

National Phase Field

Based on PCT GB99 01308

↑ Country Code &amp; Year

Title: HETEROCYCLIC DERIVATIVES WHICH INHIBIT FACTOR XA

Date: January 4, 2001

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents

Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. \_\_\_ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. \_\_\_ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report** (ISR) attached (\_\_\_ page(s))
  - a. ☐ plus Annex of family members (\_\_\_ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. \_\_\_ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: \_\_\_ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

**COPY**

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	22	minus 20 =	2	x \$18/\$9	+36	966/967
17. Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$270/\$135	+270	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254
20. SUBTOTAL					\$436	
21. Original due date: January 6, 2001						
22. Petition is hereby made to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$390/\$195 =	115/215
is attached				(3mos)	\$890/\$445 =	116/216
				(4mos)	\$1390/\$695 =	117/217
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	118/218
24. If "assignment" box 6 is X'd, add recording fee				\$40	+40	156
25. TOTAL FEE ENCLOSED =					\$476	581

(Our Deposit Account No. 03-3975)

(Our Order No. 9901 | 271055

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1100 New York Avenue, NW  
 Ninth Floor  
 Washington, DC 20005-3918  
 Tel: (202) 861-3000  
 DJB/mhn

By Atty: Donald J. BirdReg. No. 25323

Sig: \_\_\_\_\_

Fax: (202) 822-0944  
 Tel: (202) 861-3027

**NOTE:** File in duplicate with PTO receipt (PAT-103A) and attachments